

JAN 28 2002
PATENT & TRADEMARK OFFICE

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Applicant: Timothy M. Swager et al.
Serial No.: 09/935,060
Filed: August 21, 2001
For: POLYMERS WITH HIGH INTERNAL FREE VOLUME

COPY OF PAPERS
ORIGINALLY FILED

**DECLARATION CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(e) and 1.27(d) – NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Massachusetts Institute of Technology
ADDRESS OF ORGANIZATION: 77 Massachusetts Avenue, Cambridge, MA 02139

TYPE OF ORGANIZATION: UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code with regard to the invention POLYMERS WITH HIGH INTERNAL FREE VOLUME by inventor(s) Timothy M. Swager et al., described in application serial no. 09/935,060, filed 08/21/01.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

LIST OF OTHER CONCERNS HAVING RIGHTS IN THE INVENTION

If the rights held by the small business or nonprofit organization or individual inventor are not exclusive, each additional individual concern or organization having rights to the invention is listed below. (If your rights are exclusive, then no such person, concern or organization should be selected).

- ☒ no such person, concern or organization
☐ persons, concerns or organizations listed below*

*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

FULL NAME
ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

The concern or inventor identified above acknowledges the duty to notify the U.S. PTO of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

PRINTED NAME OF PERSON SIGNING:

TITLE OF PERSON (if other than owner):

ADDRESS OF PERSON SIGNING:

Rita H. Tilgner (Z)
Massachusetts Institute of Technology
77 Massachusetts Avenue, Cambridge, MA 02139

Signature

Rita H. Tilgner

Date

11/15/01



Attorney Docket No. M00925/70094 TJO

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DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

POLYMERS WITH HIGH INTERNAL FREE VOLUME

the specification of which is attached hereto unless the following is checked:

☒ [X] was filed on August 21, 2001, as U.S.S.N. 09/935,060.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

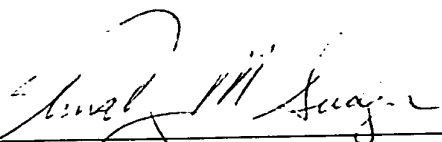
Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a),(b):

			Priority Claimed
_____	_____	_____	[] []
(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	YES NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

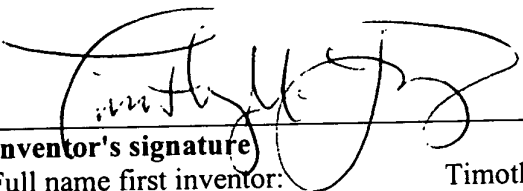
<u>60/226,506</u>	<u>08/21/00</u>
(Application Number)	(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the




Inventor's signature
Full name first inventor: Timothy M. Swager
Citizenship: United States
Residence: 18 Copley Street, Newton, MA 02158
Post Office Address: Same as above

12/06/01
Date



Inventor's signature
Full name first inventor: Timothy M. Long
Citizenship: United States
Residence: 72 Brookline Street, Apt. 201, Cambridge, MA 02139
Post Office Address: Same as above

12/6/01
Date



Inventor's signature
Full name first inventor: Zhengguo Zhu
Citizenship: Peoples Republic of China
Residence: 2456 Massachusetts Ave., Apt. 302, Cambridge, MA 02140
Post Office Address: Same as above

12/6/01
Date